

## COMMISSIONER FOR PATENTS

FORM PTO-2053-B (REV. 10/03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

In re Application of: Kelley, et al.

Application No.: 10/604,583

Filing Date: 07/31/03

Title: Autonomic E-Mail Processing System And Method

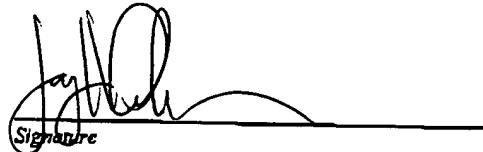
Direct to:

**Mail Stop MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450**

**NOTICE UNDER 37 CFR 1.251 – Pending Application****Statement (check the appropriate box):**

- The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.
- The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's record of such paper(s).
- The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records.
- Applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

22 February 2005  
Date

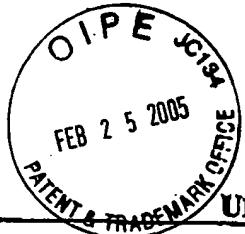


Signature

Jay H. Anderson, Reg. No. 38,371  
Typed or printed name

**A Copy of this notice should be returned with the reply.**

**Burden Hour Statement:** This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS

*- The MAILING DATE of this communication appears on the cover sheet with the correspondence address -*

**NOTICE UNDER 37 CFR 1.251 – Pending Application**

The file of the above-identified application cannot be located after a reasonable search. Therefore, the Office is initiating the reconstruction of the file of the above-identified application pursuant to the provisions of 37 CFR 1.251.

Applicant is given a period of **THREE (3) MONTHS** from the mailing date of this notice within which to provide a copy of applicant's record (if any) of all of the correspondence between the Office and applicant for the above-identified application (except for U.S. patent documents), a list of such correspondence, and a statement that the copy is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and whether applicant is aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

The following paper(s) pertaining to the above-identified application cannot be located after a reasonable search:  
Information Disclosure Statement dated 10-04-2003

Therefore, the Office is initiating the reconstruction of such paper(s) pursuant to the provisions of 37 CFR 1.251.

Applicant is given a period of **THREE (3) MONTHS** from the mailing date of this notice within which to provide a copy of the paper(s) listed above and a statement that the copy of such paper(s) is a complete and accurate copy of applicant's record of such paper(s).

Alternatively, applicant may reply to this notice by producing applicant's record (if any) of all of the correspondence between the Office and the applicant for the above-identified application for the Office to copy (except for U.S. patent documents), and provide a statement that the papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), whether applicant is aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records. Such records must be brought to the Customer Service Center in the Office of Initial Patent Examination (Crystal Plaza 2, 2011 South Clark Place, Arlington, VA 22202).

If applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application (or any copy of the paper(s) listed above), applicant must reply to this notice by providing a statement that applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

Failure to reply to this notice in a timely manner will result in abandonment of the above-identified application. The three-month period for reply to this notice may be extended (up to a maximum of six months) under the provisions of 37 CFR Sec 1.136(a). However, failure to reply within this three-month period will result in a reduction of any patent term adjustment.

A printout from PALM of the contents of the file of the above-identified application is included with this notice.

Direct the reply to this notice to:

Mail Stop: MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Direct questions concerning this notice to:

James Murphy

( 703 ) 305-6890



I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313 ON: February 23, 2005

Name of Person Making Deposit: Nicole Barrese

Signature: Nicole Barrese

Date of Signature: 2/23/05

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Edward E. Kelley *et al.*

Examiner: Not yet assigned

Application No.: 10/604,583

Group Art Unit: Not yet assigned

Filed: July 31, 2003

For: AUTONOMIC E-MAIL  
PROCESSING SYSTEM AND  
METHOD

Date: February 22, 2005

Mail Stop Missing Parts  
The Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice Under 37 C.F.R. § 1.251 mailed on December 17, 2004 in the above-identified application, the applicants' attorney submits herewith a copy of an Information Disclosure Statement dated September 17, 2003.

The Notice states that an Information Disclosure Statement dated October 4, 2003 is missing from the application file. To the best of the applicants' and the undersigned attorney's knowledge, the Information Disclosure Statement dated September 17, 2003 is the paper referred to in the Notice. The enclosed copy is a complete and accurate copy of the applicants' record of this paper.

Also enclosed is a copy of a postcard which accompanied the above-noted Information Disclosure Statement, stamped to indicate receipt thereof at the Patent and Trademark Office on September 22, 2003.

The applicants' undersigned attorney may be reached by telephone at (845) 894-3667. Correspondence regarding this Notice should be directed to the below listed address.

Respectfully submitted,



Jay H. Anderson  
Attorney for Applicants  
Registration No. 38,371

INTERNATIONAL BUSINESS MACHINES CORPORATION  
Intellectual Property Law Department  
B/300-482  
2070 Route 52  
Hopewell Junction, New York 12533  
Facsimile: (845) 892-6363